

07-28-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 005490.P001

First Named Inventor Putterman et al.

Title:

Express Mail Label No. EL807366802US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2.  Applicant Claims Small Entity Status. (37 CFR 1.27)

3. X Specification (Total Pages 29)  
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. X Drawings(s) (35 USC 113) (Total Sheets 11)

5. X Oath or Declaration (Total Pages 7)

- a.  Newly Executed (Original or Copy)
- b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
- i.  **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- c. X Unsigned.

6.  Application Data Sheet. (37 CFR 1.76)

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a.  Computer Readable Form (CRF)
- b.  Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statement verifying identity of above copies

## EXPRESS MAIL CERTIFICATE OF MAILING

Express Mail number: EL807366802US  
I hereby certify that I am causing this paper or fee to be deposited in the United States Postal Service for delivery by first class mail with restricted service on the date indicated. Payment of postage or fee has been made to the Assistant Commissioner for Patents, Washington, DC 20231.

July 19, 2001  
Date of Mailing  
April Worley  
Name of Person Mailing Document  
April Worley 7/19/01  
Signature Date

Express Mail No. EL807366802US

9.	<u>          </u>	<b>Assignment Papers (cover sheet &amp; documents(s))</b>
10.	<u>          </u>	a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
	<u>  X  </u>	b. Power of Attorney
11.	<u>          </u>	English Translation Document (if applicable)
12.	<u>          </u>	a. Information Disclosure Statement (IDS)/PTO-1449
	<u>          </u>	b. Copies of IDS Citations
13.	<u>          </u>	<b>Preliminary Amendment</b>
14.	<u>  X  </u>	<b>Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</b>
15.	<u>          </u>	Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.	<u>  X  </u>	Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.	<u>  X  </u>	Other: <u>Express Mail Certificate</u>

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
Of Prior Application No.: \_\_\_\_\_ Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

\_\_\_\_\_ Customer Number or Bar Code Label  
or  
\_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)

Country U.S.A. TELEPHONE (303)740-1980 FAX (303)740-6962

Signature: 11/1 Date: 7/19/20

Serial/Patent No.: \_\_\_\_\_  
Client: Mediabolic  
Title: Home Media Network  
Filing/Issue Date: Concurrently herewith

BSTZ File No.: 005490-P001

Date Mailed: July 19, 2001

Atty/Secty. Initials: MLW/amu

Docket Due Date: \_\_\_\_\_

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (\_\_\_\_ pgs.)
- ☐ Appeal Brief (\_\_\_\_ pgs.) (in triplicate)
- ☒ Application - Utility (29 pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_ pgs.)
- ☐ Application - Design (\_\_\_\_ pgs.)
- ☐ Application - PCT (\_\_\_\_ pgs.)
- ☐ Application - Provisional (\_\_\_\_ pgs.)
- ☐ Assignment and Cover Sheet
- ☒ Certificate of Mailing
- ☒ Declaration & POA (7 pgs.) unsigned
- ☐ Disclosure Docs & Orig & Copy of Inventor's Signed Letter (\_\_\_\_ pgs.)
- ☒ Drawings: 11 # of sheets includes 11 figures

- ☒ Express Mail No. EL807366802US Check No. 1709
- ☐ Month(s) Extension of Time Amt: 818.00
- ☐ Information Disclosure Statement & PTO 140 (\_\_\_\_ pgs.)
- ☐ Issue Fee Transmittal
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time
- ☐ Petition for \_\_\_\_\_
- ☒ Postcard
- ☐ Power of Attorney (\_\_\_\_ pgs.)
- ☐ Preliminary Amendment (\_\_\_\_ pgs.)
- ☐ Reply Brief (\_\_\_\_ pgs.)
- ☐ Response to Notice of Missing Parts
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ☒ Transmittal Letter, in duplicate
- ☒ Fee Transmittal, in duplicate

☒ Other: Request and Certification, Express mail

EL807366802US

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Date of Deposit: July 19, 2001

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April Worley  
(Typed or printed name of person mailing paper or fee)

April Worley  
(Signature of person mailing paper or fee)

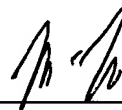
7/19/01  
(Date signed)

**REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)**First Named Inventor Putterman et al.Title Home Media NetworkAttorney Docket No. 005490.P001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

7/19/01

Date



Signature

Mark L. Watson

Typed or Printed Name

46,322

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application.

**Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE OF MAILING

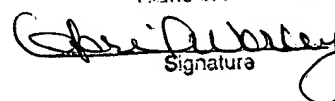
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July 19, 2001

Date of Deposit

April Worley

Name of Person Mailing Correspondence



Signature

7/19/01

Date

Express Mail No. EL807366802US

**FEE TRANSMITTAL FOR FY 2001****TOTAL AMOUNT OF PAYMENT (\$)** \$818.00**Complete if Known:**

Application No. \_\_\_\_\_

Filing Date Concurrently HerewithFirst Named Inventor Putterman et al.Group Art Unit Not yet assignedExaminer Name Not yet assignedAttorney Docket No. 005490.P001**METHOD OF PAYMENT (check one)**

1. ☒ [ X ] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- ☒ [ X ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ [ ] Applicant claims small entity status. See 37 CFR 1.27

2. ☒ [ X ] Payment Enclosed: ☒ [ X ] Check  
☐ [ ] Credit Card  
☐ [ ] Money Order  
☐ [ ] Other

EXPRESS MAIL CERTIFICATE OF MAILING

Express Mail Number: EL807346802US

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**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	710	201	355	Utility application filing fee	710.00
106	320	206	160	Design application filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional application filing fee	

**SUBTOTAL (1) \$710.00****2. EXTRA CLAIM FEES**

			Extra Claims	Fee from below	Fee Paid
Total Claims	<u>26</u>	- 20** =	<u>6</u>	X 18.00 =	108.00
Independent Claims	<u>2</u>	- 3** =	<u>0</u>	X 80.00 =	0.00
Multiple Dependent					

**\*\*Or number previously paid, if greater; For Reissues, see below.**

Large Entity		Small Entity		Fee Description
Code	Fee (\$)	Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$ 108.00****FEE CALCULATION (continued)**

### 3. ADDITIONAL FEES

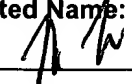
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
Other fee (specify) _____					

**SUBTOTAL (3) \$0.00**

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: Mark L. Watson

Signature:  Date: 7/19/01

Reg. Number: 46,322 Telephone Number: 303-740-1980

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